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Docket No.: NEC 01FN073
Date: February 15, 2002

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: SHIRAKAWA et al.
For: OPTICAL DISK DEVICE

Enclosed are the following:

- ☐ Letter: SUBMISSION OF INCOMPLETE APPLICATION
- ☒ Specification 46 pages; Claims 5 pages; Abstract 1 page
- ☒ Declaration and Power of Attorney
- ☒ Sheet(s) of drawings 9 pages
- ☒ An assignment of the invention to: NEC CORPORATION
- ☒ A certified copy of Japanese application No. 2001-039586, filed February 16, 2001
- ☒ Prior Art Disclosure Statement
- ☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of Japanese patent application
No. 2001-039586 filed February 16, 2001.

Benefit is hereby claimed under Title 35, United States Code 119(e) of United States provisional
application No. _____ filed _____.


The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$370.00	\$740.00
TOTAL CLAIMS:	13 - 20 =	x 9 =	x 18 =
INDEPENDENT CLAIMS:	2 - 3 =	x 42 =	x 84 =
MULT. DEPEND. CLAIMS:		+ 140	+ 280=
TOTAL:		\$	\$ 740.00

☒ Form PTO-2038 authorizing credit card payment in the amount of \$ 780.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391


Norman P. Soloway
Attorney of Record
Reg. No. 24,315

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. ET956807027US Date of Deposit: February 15, 2002

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Signature of person mailing: Sharon McKniff
Name of person mailing: Sharon McKniff

Change Of Attorney Or Agent's Address In Application
(37 CFR 1.8(a))

Docket No.
NEC 01FN073

In Re Application Of: **SHIRAKAWA et al.**

Serial No.

Filing Date
February 15, 2002

Examiner

Group Art Unit

Invention:

OPTICAL DISK DEVICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Please send all correspondence for this application to:

Norman P. Soloway
HAYES SOLOWAY P.C.
130 W. Cushing Street
Tucson, Arizona 85701

Please direct all telephone calls to:

520-882-7623

Dated: **February 15, 2002**

Signature of Attorney or Agent of Record

Reg. No. 24,315
Norman P. Soloway
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130 W. Cushing Street
Tucson, Arizona 85701

Registration Number & Address of Attorney or Agent of Record

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s):

Docket No.

NEC 01FN073

Serial No.

Filing Date

February 15, 2002

Examiner

Group Art Unit

Invention:

OPTICAL DISK DEVICE

I hereby certify that the following correspondence:

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February 15, 2002*(Date)***Sharon McKniff***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***ET956807027US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**